

REQUEST FORM FOR  
APPLICATION UNDER 37 CFR 1.53(b)

DOCKET NUMBER: 49657-904

Prior Application:

Art Unit: 2815

Examiner: D. Hardy

Commissioner for Patents  
Washington, DC 20231

Sir:

This is a Request for filing a **Divisional** application under 37 CFR 1.53(b) of pending prior application

Serial No. 09/095,612, filed on June 11, 1998, entitled SEMICONDUCTOR DEVICE COMPRISING

CAPACITOR AND METHOD OF FABRICATING THE SAME, by the following named inventors: Yoshinori

TANAKA, Masahiro SHIMIZU, Hideaki ARIMA.

1. ☒ I hereby state that the enclosed application contains no new matter.
2. Oath or Declaration
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
    - i. ☐ Deletion of inventor(s)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
3. ☒ Incorporation By Reference (useable if Box 2b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 2b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
4. ☒ Preliminary Amendment is enclosed.
5. ☒ An Information Disclosure Statement and PTO1449 Form are submitted herewith.
6. ☒ Cancel claims 1-11 and 17-20.



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PATENT TRADEMARK OFFICE

7. The filing fee is calculated on the basis of the claims existing in the prior application as amended at 4 and 6 above:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	9	-20	0	\$18.00 =	\$0.00
Independent Claims	1	-3	0	\$80.00 =	\$0.00
Basic Application Fee					\$710.00
If multiple dependent claims are presented, add \$0.00					\$0.00
Total Application Fee					\$710.00
Subtract ½ if small entity					\$0.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$710.00</b>
<b>AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT NO. 500417</b>					<b>\$710.00</b>

- 7a. ☐ Enclosed is a Verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- 7b. ☐ A verified Statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27 was filed in prior application and such status is still proper and desired.
- 8a. ☒ **PLEASE CHARGE DEPOSIT ACCOUNT 500417 in the amount of \$710.00**
- 8b. ☒ The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required, including any extension of time fees to maintain the pendency of the parent application Serial No. 09/095,612 or credit any overpayment to Deposit Account No. 500417.
9. ☒ Amend the specification by inserting before the first line the sentence:  
 --This application is a Divisional of Application Serial No. 09/095,612 filed June 11, 1998.--
10. ☒ Priority of Application Serial No. 9-367189, filed on December 24, 1997 in Japan, is claimed under 35 USC 119. The certified priority document was filed in Serial No. 09/095,612 on June 11, 1998.
11. ☒ The prior application is assigned of record to  
**Mitsubishi Denki Kabushiki Kaisha**  
 Tokyo, Japan
12. ☒ The power of attorney in the prior application is to:  
 McDermott, Will & Emery
13. ☒ Also enclosed:  
 Correspondence Address Change

DOCKET NUMBER: 49657-904

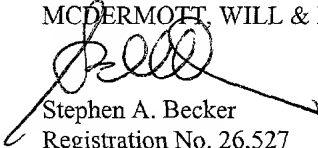
14. ☐ A petition, fee and response has been filed to extend the term in the pending prior application until .

Address all future communications to: (May only be completed by applicant, or attorney or agent of record)

McDermott, Will & Emery  
600 13th Street, N.W.  
Washington, DC 20005-3096

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
Stephen A. Becker  
Registration No. 26,527

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 SAB:dtb  
**Date: January 10, 2001**  
Facsimile: (202) 756-8087

RECEIVED

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Yoshinori TANAKA, et al.

Serial No.:

(Divisional of Serial No. 09/095,612)

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Group Art Unit:

Filed: January 10, 2001

Examiner:

For: SEMICONDUCTOR DEVICE COMPRISING CAPACITOR AND METHOD OF  
FABRICATING THE SAME

**CORRESPONDENCE ADDRESS CHANGE**

Commissioner for Patents and Trademarks  
Washington, D. C. 20231

Sir:

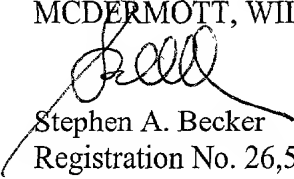
Please change the records to indicate the current firm name and telephone number for the  
above-identified application and forward all future correspondence as follows:

McDERMOTT, WILL & EMERY  
600 13th Street, N.W.  
Washington, DC 20005-3096

202-756-8000  
Facsimile: 202-756-8087

Respectfully submitted,

McDERMOTT, WILL & EMERY

  
Stephen A. Becker  
Registration No. 26,527

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Washington, DC 20005-3096  
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FOR FILING